



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kazuo Tanaka et al.
Serial No. : 10/627,944
Filed : July 25, 2003
Title : OPTICAL WAVEGUIDE DEVICE

Art Unit : 2874
Examiner : Phan T.H. Palmer

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the documents listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed.

Documents AL and AM are in a non-English language. Their respective English abstracts are provided.

This filing is being made with the filing of a Request for Continued Examination. No fee is required.

Respectfully submitted,

Date: 2-14-05

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

February 14, 2005
Date of Deposit

Diane M. Saturno
Signature

Diane M. Saturno
Typed or Printed Name of Person Signing Certificate

Substitute Form PTO-1449 (Modified) FEB 16 2005 Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR § 1.98(b))		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 16283-002001	Application No. 10/627,944
		Applicant Kazuo Tanaka et al.		
		Filing Date July 25, 2003	Group Art Unit 2874	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	AL	2003-006912	01/10/03	JP	G11B	7/135	
	AM	2002-365195	12/18/02	JP	G01N	13/14	
	AN	WO 03/001258 A1	01/03/03	WIPO	G02B	6/12	
	AO	WO 01/48521 A1	07/05/01	WIPO	G02B	6/12	
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	Berini, "Plasmon Polariton Modes Guided by a Metal Film of Finite Width", Optics Letters, 24:1011-1013, 1999, abstract http://ol.osa.org/abstract.cfm?id=37362 , 2004.
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	